NFA CONSUMER AND DISPLAY FIREWORKS SEMINAR Branson, MO September 4, 2014



I. Report from the AFSL President

- Mr. Michael Collar, President

Finances

	2011	2012	2013
Cases Tested	7.45 Million	6.17 Million	5.92 Million
Net Revenue	Net Gain	Net Lost	Net Lost

Fee Structure

1994	2003	2014 (JanJul.)	2014 (AugDec.)
\$0.50	\$0.45	\$0.45	\$0.50

- The testing fees AFSL pays the testing lab increased 50% since 1994.
- Since 2005, AFSL established China Operations Office including 2 staff.
- >AFSL launched the Domestic Audit Program in 2012.
- AFSL established a Certification Database for U.S. Importers.
- AFSL is developing a new database tracking system in China.

EFFECTIVE DATE FOR FEE INCREASE

August 1, 2014

II. Consumer Fireworks Mid-year Program Summary

- Mr. John Rogers, Executive Director

CASES TESTED BY YEAR 2010-2014 Jan. – Jun.



Jan.-Jun. 2010 Jan.-Jun. 2011 Jan.-Jun. 2012 Jan.-Jun. 2013 Jan.-Jun. 2014

COMPLIANCE RATE JAN. TO JUN. YEAR 2014 QUALITY IMPROVEMENT PROGRAM

Compliance Non-Compliance

91%



Complying Cases: 3.95 million cases (include 76,000 component cases and 43,000 regular cases in Thailand) Non-Complying Cases: 378,000 (include 6,200 component cases) Total Cases: 4.33 million (include 82,000 component cases and 43,000 regular cases in Thailand.)

QIP COMPLIANCE PERCENTAGE BY YEAR



COMPLIANCE RATE BY CATEGORY JAN. TO JUN. 2014



PERCENTAGE TESTING BY PRODUCT CATEGORY JAN. TO JUN. 2014



TOP 10 VIOLATIONS JAN. TO JUN. YEAR 2014 Percentage of Total Violations



III. Report on CPSC Testing

- Mr. John D. Rogers

REPORT ON CPSC TESTING

- CPSC Areas of Concern
 - Overloaded Break Charges
 - Fuse Burn Time Failures
 - Fuse Attachment Failures

CPSC FINDINGS ON OVERLOADED REPORTS

RECENT	SIGNIFICANT	VIOLATIONS 2	最近重大违规批次
AFSL Test Lot ID	Product Category	Violation	Average weight of the audible effect composition
Aerial Devices:			
11014466	Reloadable Assortment	16 C.F.R. §1500.17(a)(3)	11,595.90 mg or 178.95 grains
11X04135	Reloadable	16 C.F.R. §1500.17(a)(3)	11,644.40 mg or 179.70 grains
11014466	Reloadable Assortment	16 C.F.R. §1500.17(a)(3)	11,966.60 mg or 184.67 grains
11014504	Mine and Shells	16 C.F.R. §1500.17(a)(3)	1758.20 mg or 27.13 grains
11013664	Rocket	16 C.F.R. §1500.17(a)(3)	187.50 mg or 2.89 grains
11014927	Mine and Shells	16 C.F.R. §1500.17(a)(3)	2401.60 mg or 37.06 grains
11016458	Mine and Shells	16 C.F.R. §1500.17(a)(3)	2521.30 mg or 38.91 grains
11012024	Mine and Shells	16 C.F.R. §1500.17(a)(3)	2558.40 mg or 39.48 grains
11012025	Mine and Shells	16 C.F.R. §1500.17(a)(3)	2704.30 mg or 41.73 grains
11016754	Combinations	16 C.F.R. §1500.17(a)(3)	2722.30 mg or 42.01 grains
11016093	Mine and Shells	16 C.F.R. §1500.17(a)(3)	2722.30 mg or 42.01 grains
11X03460	Mine and Shells	16 C.F.R. §1500.17(a)(3)	3208.10 mg or 49.51 grains
11017384	Mine and Shells	16 C.F.R. §1500.17(a)(3)	3503.30 mg or 54.06 grains
11015448	Mine and Shells	16 C.F.R. §1500.17(a)(3)	3531.40 mg or 54.50 grains
11013196	Mine and Shells	16 C.F.R. §1500.17(a)(3)	4761.20 mg or 73.48 grains
Firecracker:			
11X04292	Firecrackers	16 C.F.R. §1500.17(a)(8)	490.10 mg or 7.56 grains

CPSC FINDINGS ON LONG FUSE BURN TIME

Lot I.D.	Violations failed by CPSC	CATEGORY	AFSL Test Result
14X01154	Long FBT	Mine & Shells	СО
14008784	Long FBT	Mine & Shells	СО
C1400131	Long FBT	Reloadable Shells	со
14X00511	Long FBT	Mine & Shells	СО
14001166	Long FBT	Mine & Shells	СО

CPSC FINDINGS ON SHORT FUSE BURN TIME

Lot I.D.	Violations failed by CPSC	CATEGORY	AFSL Test Result
13008547	Short FBT	Fountains	СО
13011805	Short FBT	Reloadable Shells	СО
13010902	Short FBT	Mine & Shells	СО
14003988	Short FBT	Rocket	СО
14002057	Short FBT	Reloadable Shells	СО
13L02970	Short FBT	Smoke	СО
13L02986	Short FBT	Smoke	СО

CPSC FINDINGS ON FUSE ATTACHMENT

Lot I.D.	Violations failed by CPSC	CATEGORY	AFSL Test Result
13007643	Fuse Attachment	Roman Candles	СО
13011217	Fuse Attachment	Mine & Shells	СО
14X02187	Fuse Attachment	Mine & Shells	СО
14000665	Fuse Attachment, Long FBT, Short FBT	Reloadable shells	СО
14000669	Fuse Attachment, Long FBT, Short FBT	Reloadable shells	СО
13010454	Fuse Attachment, Long FBT	Roman Candles	СО
13010455	Fuse Attachment, Long FBT	Roman Candles	СО
13010456	Fuse Attachment	Roman Candles	СО
14X00916	Fuse Attachment	Mine & Shells	СО
14003115	Fuse Attachment	Mine & Shells	СО

IV. Metal Powder Used in Break Charges

AFSL REQUIREMENT ON BREAK CHARGE

 Break charge must be Black Powder or equivalent.
 If there is aluminum, magnalium or other metal powder in the break charge, it must meet the 130 mg weight limit.



Metal Powder Used in Break Charges

> We determined that many factories are using metal powder in break charges.

The metal powder was colored black so it was not detected by us for a while.

➢ With closer screening, we are finding a higher failure rate for this violation since December 2013.

AFSL failure rate for overloaded aerial reports increased significantly beginning in December 2013.

Year	Cases	Lots
2008	303	2
2009	1007	6
2010	725	6
2011	3146	24
2012	500	2
December 2013	15752	52
January 2014	9960	37
Feb. – Jun. 2014	18958	76

SCREENING TEST PROCEDURE FOR DETECTING METAL IN BREAK CHARGES

We listen to the aerial effect sound level. If it is normal we will pass it. If it is too loud, we will perform additional testing to determine metal powder is present and if the break charge complies with AFSL requirements. At the same time, we watch the burst of the shell in the air. If the break charge contains metal powder it will present a dazzling white flash light in the aerial effects.



METAL POWDER SCREENING TEST

- The break charge composition will be screened through a 100 mesh sieve, then perform the following screening tests:
- 1. Finger test:

Rub some break charge powder between the thumb and index finger for 10 seconds. If there is silver color oily coating the finger, there is metal powder present.

METAL POWDER SCREENING TEST

2. Water test:

Put 1-2 grams of break charge in a cup of water; stir it with a glass or plastic sticker for 1 minute. If there is silver powder floating on top of the water, there is metal powder present. (Note: very fine charcoal powder will float on the water too, but it is dark grey color).

BREAK CHARGE FAILURES

- If the device produces a very loud break, the sample will be failed for overloaded report if the break charge exceeds 130 mg.
- If metal powder is detected by the metal screening tests, the sample will be failed.
- If the factory objects to the failure in either case, the steel ball test will be conducted to determine the result.

V. Domestic Audit Program for U.S. Importers

- Mr. Jerry Wingard, Project Manager

INTERNAL AUDIT PROGRAM

PURPOSE:

A. Monitor Integrity of Certification Process in China.

> Application of AFSL Stickers.

> Authenticity of AFSL Stickers.

> AFSL Lot Identification Stamps.

Integrity of Shipping Cartons.

- B. Assist Importers in Correcting Deficiencies in Program.
 - > Familiarity with Requirements of Program.
 - > How to Address untested Lots.
 - > Assuring Compliance with CPSC Certification Requirements.

- C. Enhance the Integrity of the AFSL Program
 - > Validate Certification Process in China.
 - > Demonstrate that Program is Adequately Monitored.
 - Improve the Credibility of the Program for Regulatory Authorities (DOT and CPSC).

SUMMARY OF AUDITS

• 107 companies have been audited. The chart below shows the results:



VIOLATION TYPES



Non Tested
Failed AFSL
Other Labs
Domestic

AFSL CERTIFICATION PROCESS FINDINGS – PHASE I AND II



VI. Changes to AFSL Standards

- Mr. John D. Rogers

TUBE ABUSE TEST FOR RELOADABLE TUBE AERIAL SHELL DEVICES

The Standards Committee considered whether the Tube Abuse Test for low – energy Reloadable Tube Aerial Shell Devices could be modified or eliminated without compromising the safety of the devices.

RESULTS OF TESTING







Decision: The tube abuse provision in section 2-1.5.6 of the Reloadable Shell Standards was <u>NOT</u> amended and remains in effect for all categories of reloadable tube aerial shell devices, including lower- energy shells.

GRENADE SHAPED FIRECRACKERS



MODIFICATIONS TO FIRECRACKER STANDARD

Decision: Amend Section 2-1.12 as follows: "Items subject to this standard must not bear a name, bear graphics <u>or be of a product</u> <u>design or physical form that suggests</u> a use of the product that is inconsistent with the caution labeling instructions".

Effective Date: February 17, 2014

RESIDUAL BURN REQUIREMENT FOR MULTIPLE TUBE FOUNTAINS

- The standard for Fountains was amended to include the following requirement for Multiple tube fountains:
- -- "The finished item, including tubes and internal construction material, must not continue to burn or re-ignite after functioning."

TEST PROCEDURE FOR RESIDUAL BURN (MULTIPLE TUBE FOUNTAINS)

Test Procedure:

>Following functioning of the devices in the performance test, allow the items to sit for 1 hour.

>If ignition of the tubes or other internal components occurs during the one-hour observation period, record that device as a Failure.

TEST PROCEDURE FOR RESIDUAL BURN (MULTIPLE TUBE FOUNTAINS)

Test Procedure (continued):

➤After the one-hour period, use the Thermal Detector (positioned 11 inches from the top of the device), scan the entire inside area of the device, and record the maximum internal temperature detected in each of the devices.

> If the maximum internal temperature remains above 250° F (121° C), record that device as a Failure.



ADDITIONAL WARNING LABEL FOR MULTIPLE TUBE FOUNTAINS TO ADDRESS RESIDUAL BURN

4-2.13 Multiple-tube Fountains subject to this Standard must bear a warning label which reads:"May re-ignite. Soak with water after use."

> CAUTION MAY RE-IGNITE. SOAK WITH WATER AFTER USE.

ADDITIONAL WARNING LABEL FOR MULTIPLE TUBE FOUNTAINS TO ADDRESS RESIDUAL BURN

NOTE: The label must be placed on the top surface of the device next to the fuse, where feasible. If not feasible, the label must be placed on the flat surface closest to the fuse. The label must be consistent with AFSL labeling and type-size requirements in Appendix B of the AFSL Standards.

Effective Date: July 1, 2014

PROCEDURES FOR PREVIOUSLY FAILED LOTS

• If a previously assigned lot I.D. number is found on a shipment lot that is assigned for testing, the technician should not test the lot, and the lot should be classified as "CA." The previously assigned Lot ID number on the cartons will be recorded in the "Remarks" section of the "CA" test report.

EXCEPTION

- If there is clear evidence to demonstrate that the entire shipping Lot was reworked or replaced. Such evidence includes but not limited to:
- All the cartons were opened and resealed;
- All the wrapping labels of the products were removed and re-wrapped;
- All the previously tested products were removed and replaced, and the failed products are separated from the shipment, etc.

PROCEDURES FOR PREVIOUSLY FAILED LOTS

• Prior to testing the re-worked Lot, the technician must obtain permission from the Operations Office to conduct the test, and confirm the previous violation which was found in the Lot. The previous Lot I.D. number should be recorded in the "Remarks" section of the test report, as follows: "*a* previous lot I.D. xxxxxxx was found on all shipping cartons."

OTHER ACTIVITIES:

AFSL has submitted an application to PHMSA to become an FCA. A decision from PHMSA is still pending.

VII. Display Fireworks Testing Program Overview

- Mr. John D. Rogers

DISPLAY FIREWORKS PROGRAM REVIEW

Three components :

- > Annual factory audits
- On-site product evaluations
- Container loading supervision

PARTICIPATING 1.3G IMPORTERS More than 50% of U.S. Market

- 1 Alonzo Fireworks Display, Inc. Mechanicville, NY
- 2 Ammo Hut Productions, Inc., Claremore, OK
- 3 Arthur Rozzi Pyrotechnics, Inc., Maineville, OH
- 4 Atlas Advanced Pyrotechnics, Inc., Jaffrey, NH
- 5 Atlas Enterprises, Inc., Fort Worth, TX
- 6 Central States Fireworks, Inc., Athens, IL
- 7 Fireworks by Grucci, Brookhaven, NY
- 8 Hamburg Fireworks Display Inc., Lancaster, OH
- 9 J&M Displays, Inc., Yarmouth, IA
- 10 Kellner's Fireworks Inc., Harrisville, PA
- 11 Lew's Fireworks, Inc., Watertown, SD
- 12 Magic in the Sky, LLC, San Antonio, TX
- 13 Melrose Pyrotechnics, Inc., Kingsbury, IN
- 14 Pyro Shows, Inc., La Follette, TN
- 15 Pyro Spectaculars, Inc., Rialto, CA
- 16 Pyrotecnico, New Castle, PA
- 17 RES Specialty Pyrotechnics, Belie Plaine, MN
- 18 Starfire Corporation, Carrolltown, PA
- 19 Western Enterprises, Inc., Carrier, OK
- 20 Wolverine Fireworks Display, Inc., Kawkawlin, MI
- 21 Zambelli Fireworks, New Castle, PA

PARTICIPATING SHIPPERS

- 1 An Ping County Fireworks General Factory
- 2 Babytiger Fireworks Import & Export Ltd., Co.
- 3 Cenxi Wahyee Advanced Fireworks Factory
- 4 Dancing Fireworks Group
- 5 Far East San Luen Fat Trading Company
- 6 Glorious Professional Products Trading Limited
- 7 Hua Hui Fireworks Manufacturing Co., Ltd.
- 8 Hunan Cereals, Oils and Foodstuffs Import & Export Group Co., Ltd.
- 9 ICON Pyrotechnics International Co., Ltd.
- 10 Inter-Oriental Fireworks (HK) Ltd.
- 11 Jiangxi Changshan Exporting Fireworks Manufacture Co., Ltd.
- 12 Jiangxi Panda Fireworks Co., Ltd.
- 13 Jiangxi Province Lidu Fireworks Corporation Ltd.
- 14 Jiangxi Province Light Industrial Products I/E Co., Ltd.
- 15 Jiangxi Wanzai Golden Peak Fireworks Corp.
- * 16 Liuyang Goldenkey Trade Co., Ltd.
 - 17 Liuyang Jingli Fireworks Trade Co., Ltd.
 - 18 Liuyang Jinsheng Fireworks Co., Ltd.
 - 19 Liuyang Leping Import & Export Co., Ltd.
- 20 Liuyang Qingtai Export Trade Co., Ltd.
- 21 Liuyang Sunny Fireworks Trade Co., Ltd.
- 22 Liuyang Xiangguang Fireworks Trading Co., Ltd.
- 23 NanChang Xiangtian Imp. & Exp. Co., Ltd.
- 24 United Pyrotechnics (USA) Inc.
- 25 Yung-Feng Firecrackers & Fireworks Co., Ltd.

DISPLAY FIREWORKS TEST SUMMARY JANUARY – JULY 2012, 2013 AND 2014



DISPLAY FIREWORKS COMPLIANCE RATE BY YEAR



QUESTION & ANSWER PERIOD



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THANK YOU!

